

Atty. Dkt. No. 062834-0112

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pólicant:

Michael J. BURK

Title:

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR COLLABORATIVE FORECASTING IN

A SUPPLY CHAIN MANAGEMENT FRAMEWORK

REC

OCT 2 4 2002

Technology Center 2100

Appl. No.:

09/834,838

Filing Date:

04/13/2001

Examiner:

Unassigned

Art Unit:

2163

RECEIVED

OCT 2 5 2002

GROUP 3600 INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.



The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

RELEVANCE OF EACH DOCUMENT

The foregoing documents were cited in the International Search Report of Applicants' International Application PCT/US02/08287. A copy of the International Search Report setting forth the portion of reference considered relevant by the examiner is attached.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

By

Respectfully submitted,

William T. Ellis

Attorney for Applicant Registration No. 26,874

Date: <u>October 23, 2002</u>

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PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449B/PTG40EMARY INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

Date Submitted: October 23, 2002

(use as many sheets as necessary)

Sheet

Complete if Known				
Application Number	09/834,838 DECENTED			
Filing Date	09/834,838 04/13/2001 RECEIVED			
First Named Inventor	Michael J. BURK			
Group Art Unit	2163 OCT 2 4 200Z			
Examiner Name	Unassigned			
Attorney Docket Number	062834-0112 Technology Center 2100			

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No.1	U.S. Patent D	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	5,950,173	- " -	Perkowski	09-07-1999	
	A2	6,084,528		Beach et al.	07-04-2000	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner	Date
Signature	Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.